UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/511,188	10/12/2004	Maurizio Ghirardi	007516.00001	1843
22907 BANNER & W	7590 07/21/200 ITCOFF, LTD.	EXAMINER		
1100 13th STRI SUITE 1200		OH, ANDREW CHUNG SUK		
WASHINGTON, DC 20005-4051			ART UNIT	PAPER NUMBER
			2419	
			MAIL DATE	DELIVERY MODE
			07/21/2009	PAPER

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

		Application No.	Applicant(s)			
Office Action Summary		10/511,188	GHIRARDI, MAURIZIO			
		Examiner	Art Unit			
		ANDREW OH	2419			
	The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply					
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).						
Status						
1)⊠	Responsive to communication(s) filed on 20 Ar	oril 2000				
· ·	Responsive to communication(s) filed on <u>29 April 2009</u> .  This action is <b>FINAL</b> 2bV This action is non final					
3)□	This action is <b>FINAL</b> . 2b) This action is non-final.  Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
J)الــا	closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.					
	closed in accordance with the practice under z	x pane quayle, 1000 O.D. 11, 40	0.0.210.			
Dispositi	on of Claims					
4)🛛	☑ Claim(s) <u>1,2 and 5-30</u> is/are pending in the application.					
	4a) Of the above claim(s) is/are withdrawn from consideration.					
5)	5) Claim(s) is/are allowed.					
6)🖂	6)⊠ Claim(s) <u>1,2 and 5-30</u> is/are rejected.					
7)	Claim(s) is/are objected to.					
8)	Claim(s) are subject to restriction and/or	election requirement.				
	ion Papers					
•	The specification is objected to by the Examine					
10)[X]	The drawing(s) filed on 29 April 2009 is/are: a)	· · · · · · · · · · · · · · · · · · ·	-			
	Applicant may not request that any objection to the	<del>-</del> ' '	* '			
	Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).					
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority ι	ınder 35 U.S.C. § 119					
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>						
2)  Notic 3)  Inform	t(s) se of References Cited (PTO-892) se of Draftsperson's Patent Drawing Review (PTO-948) mation Disclosure Statement(s) (PTO/SB/08) r No(s)/Mail Date	4)  Interview Summary Paper No(s)/Mail Da 5)  Notice of Informal P 6)  Other:	nte			

Art Unit: 2419

### 1. DETAILED ACTION

### 2. Response to Arguments

## 3. Abstract

4. Applicant's arguments, see p11, filed 4/29/2009, with respect to the specification have been fully considered and are persuasive. The objection of the specification has been withdrawn.

# 5. <u>Claim Objections</u>

6. Applicant's arguments, see p11, filed 4/29/2009, with respect to claims 3, 11, 12, 21, 22, 24, 25 have been fully considered and are persuasive. The objection of claims 3, 11, 12, 21, 22, 24, 25 has been withdrawn.

## 7. <u>Drawings</u>

8. Applicant's arguments, see p11, filed 4/29/2009, with respect to the drawings have been fully considered and are persuasive. The objection of the drawings has been withdrawn.

Art Unit: 2419

## 9. <u>35 USC § 112</u>

10. Applicant's arguments, see p13, filed 4/29/2009, with respect to claims 1-28 have been fully considered and are persuasive. The section 112 rejection of claims 1-28 has been withdrawn.

#### 11. **35 USC § 101**

12. Applicant's arguments, see p12, filed 4/29/2009, with respect to claims 1, 2, 5-28, 30 have been fully considered and are persuasive. The section 101 rejection of claims 1, 2, 5-28, 30 has been withdrawn.

### 13. Claim 1

- 14. Applicant's arguments filed 4/29/2009 have been fully considered but they are not persuasive. The applicant argues that Fujino teaches away from single communications network because he describes a plurality of LANs and WANs.
- 15. The examiner responds by pointing out that the claim language broadly states "single communications network". The applicant does not qualify his claim language as "only a single communication network" or "a single network sharing a single subnet range". Thus, it is entirely reasonable to classify a number of LANs and WANs as a single network by virtue of their physical connection regardless of their logical relationship. The entire internet is considered to be a single network even though in reality it is an assortment of LANs and WANs. The examiner can also easily argue that the WAN (fig.1, 4) or LAN (fig.1, 1) satisfies the "single communications network"

Art Unit: 2419

language because they do not exclude any other sub-network. Thus, the examiner asserts that the claim term "single communication network" as far too broad and found to be taught in the Fujino reference.

### 16. Claim 14

- 17. Applicant's arguments filed 4/29/2009 have been fully considered but they are not persuasive. The applicant argues that Birdwell teaches a compression indicator that only indicates the length of the packet and does not teach or suggest basing a compression operation on an acknowledgment of a sequence which appears periodically in the message.
- 18. The examiner responds by arguing that Birdwell adequately satisfies the language of the claims. The claim only calls for the sequence to be acknowledged but is silent as to how that acknowledgement plays out. In Birdwell's application, a full-length packet with an uncompressed header is stored and a reduced-length packet with a compressed header is rebuilt, and all this depends on the compression flag (col.2, ln.20-52; col.7, ln.24-34). Thus, the sequence is most certainly acknowledged by the client (fig.6). The claim also calls for this sequence to be periodic, but again periodic is a broad term that can be defined as occurring at both regular and irregular intervals. All the packets in Birdwell have the compression flag and are, thus, received with the packet at periodic intervals.
- 19. The applicant argues that the periodic sequence is with regards to the message and not across multiple messages.

Art Unit: 2419

20. The examiner responds by arguing that message is a broader term than packet and multiple packets can be received without having received the entire message. As the claim language stands, Birdwell adequately rejects claim 14.

- 21. The applicant further argues that the periodic sequence occurs after any compression operation on that the compression operation cannot be based on the periodic sequence acknowledgement.
- 22. The examiner responds by arguing two points. The first point being that out of the multitude of compression operations in existence, it would be reasonable to say that a decompression operation (col.2, ln.25-32) is related to and falls under the category of "a compression operation". Secondly, the compression operation, prior to reception by the client, can be considered to be based on the periodic sequence. The compression operation is supported by, depends upon, is based upon the periodic sequence. The compression operation is the entire compression / decompression and the transmission / reception of the packet between source and destination where the periodic sequence plays an integral part. Thus, claim 14 remains unpatentable.

# 23. Claim Rejections - 35 USC § 102

- 24. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:
- 25. A person shall be entitled to a patent unless -
- 26. (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Application/Control Number: 10/511,188

Art Unit: 2419

27. Claim 1, 5, 7, 8, 29, 30 rejected under 35 U.S.C. 102(b) as being unpatentable by Fujino (US-5651006).

28. As to claim 1, 29, 30: Fujino teaches a method managing a management activity of at least one managed object by at least one manager object through a communication network the method comprising the following steps:

Page 6

- 29. providing at least one intermediate object configured to manage said at least one managed object according to a data set (fig.2, 10, 20, col.2, ln.60 col.3, ln.24 and col.6, ln.55-67: sub-manager manages agents and collects information from them in order to post them to the integration manager), said management activity being transformed into a set of results (abstract, col.2, ln.60 col.3, ln.24 and col.6, ln.55-67: integration issues request to sub-manager which results in returned data collected from agents),
- 30. receiving, at said at least one intermediate object, said data set from said at least one manager object (col.2, ln.60 col.3, ln.19 and col.6, ln.55-67: SNMP / reference request from integration manager),
- 31. managing said at least one managed object through said at least one intermediate object, to generate said set of results (abstract, col.2, In.60 col.3, In.24 and col.6, In.55-67: post management objects from sub-manager to integration manager, management objects having been obtained from agents),
- 32. transferring said set of results form said at least one intermediate object to said at least one manger object (abstract, col.2, ln.60 col.3, ln.24 and col.6, ln.55-67:

Application/Control Number: 10/511,188

Art Unit: 2419

post management objects from sub-manager to integration manager, management objects having been obtained from agents),

33. managing at least one further managed object (fig.2, 10c) directly through said at least one manager object (fig.2, 50),

Page 7

- 34. and managing said at least one managed object (fig.2, 20) by said at least one manager object (fig.2, 50) via said intermediate object (fig.2, 10a, abstract, col.2, ln.60 col.3, ln.24 and col.6, ln.55-67),
- 35. wherein the management of said at least one further managed object (fig.1, 20) and said at least one managed object (fig.1, 20) occurs through a single communication network (fig.1, 3).
- 36. As to claim 5: Fujino teaches the method according to claim 1 which comprises the following steps: managing said at least one further managed object (fig.1, 20) directly through said at least one manager object (fig.1, 50) and transferring said data set and said results set between said at least one manager object and said at least one further managed object (col.5, ln.62 col.6, ln.4; col.6, ln.55-58: integration manager manages agents directly connected to it through LAN3), and for managing said at least one managed object through said intermediate object (abstract, col.2, ln.60 col.3, ln.24 and col.6, ln.55-67, and fig.1, 1, 2: manage agents through sub-managers on LAN1 and LAN2).

Art Unit: 2419

37. As to claim 7: Fujino teaches the method according to claim 1 wherein said intermediate object is provided with respective reception modules and transmission modules (fig.2, 10 and col.2, In.60 - col.3, In.24 and col.6, In.55-67: transmission and reception of information of AG between manager and agent) configured so that said at least one manager object sees said intermediate object as one of said managed objects (col.3, In.1-5: integration manager views sub-manager as an agent).

- 38. As to claim 8: Fujino teaches the method according to claim 1 wherein said at least one intermediate object comprises at least one respective management module (fig.3) configured so that said at least one managed object which is managed by said at least one intermediate object, sees said at least one intermediate object as said at least one manager object (col.3, In.1-5, col.8, In.40-52: sub-manager behaves as manager to its agents).
  - 39. Claim Rejections 35 USC § 103
- 40. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
- 41. A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 42. Claim 2 rejected under 35 U.S.C. 103(a) as being unpatentable over Fujino (US-5651006) as applied to claim 1 above, and further in view of Rozman (US-5438614).

Art Unit: 2419

43. As to claim 2: Fujino teaches the method according to claim 1 which comprises the step of establishing the communication between said at least one manager object and said at least one intermediate object (abstract, col.2, ln.60 - col.3, ln.24 and col.6, ln.55-67: post management objects from sub-manager to integration manager, management objects having been obtained from agents).

- 44. Fujino may not explicitly teach via UDP protocol.
- 45. Rozman teaches via UDP protocol (col.43, ln.54-59: SNMP over UDP).
- 46. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the teachings of Rozman into Fujino since Fujino suggests SNMP nodes communicating using SNMP messages (fig.1, fig.2) in general and Fujino suggests SNMP transmitted over UDP, the motivation being to provide for better interoperability (col.43, In.54-59: SNMP over UDP).
- 47. Claim 6 rejected under 35 U.S.C. 103(a) as being unpatentable over Fujino (US-5651006) as applied to claim 1 above, and further in view of Chikenji (US-6639893).
- 48. As to claim 6: Fujino teaches the method according to claim 1 which comprises the steps of providing a plurality of said intermediate objects
- 49. Fujino may not explicitly teach and managing at least one managed object through several intermediate objects of said plurality.

Art Unit: 2419

50. Chikenji teaches and managing at least one managed object through several intermediate objects of said plurality (fig.33, fig.34, col.46, ln.1-67: multiple SNMP managers).

- 51. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the teachings of Chikenji into Fujino since Fujino suggests SNMP sub-managers managing agents (fig.1, fig.2) in general and Chikenji suggests multiple SNMP managers, the motivation being to provide back-up units in case of a fault (fig.33, fig.34, col.46, In.1-67: multiple SNMP managers).
- 52. Claim 9, 10, 11, 12 rejected under 35 U.S.C. 103(a) as being unpatentable over Fujino (US-5651006) as applied to claim 1 above, and further in view of Osmond (US-6044468).
- 53. As to claim 9: Fujino teaches the method according to claim 1 wherein said at least one intermediate object is provided with one of the following queues: ... and a working queue for collecting messages inherent to said management activity performed by said at least one intermediate object on said at least one managed object (col.6, In.5-18, In.55-58: MIB database contains management objects collected from agents).
- 54. Fujino may not explicitly teach an input queue for collecting input messages with respect to said at least one intermediate object, an output queue for collecting output messages from said at least one intermediate object.

55. Osmond teaches - an input queue for collecting input messages with respect to said at least one intermediate object, - an output queue for collecting output messages from said at least one intermediate object (col.6, In.20-32: SNMP manager with buffer for transmission and reception).

Page 11

- Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the teachings of Osmond into Fujino since Fujino suggests an SNMP manager with a buffer (col.22, In.17) in general and Osmond suggests an SNMP manager with a buffer performing transmission and reception, the motivation being to store messages beforehand to prevent jitter and dropping of packets and to streamline transmission and reception (col.6, In.20-32: SNMP manager with buffer for transmission and reception).
- 57. As to claim 10: Fujino teaches the method according to claim 9 which comprises the step of providing, in said at least one intermediate object, a dedicated module for analyzing the input messages received by said input queue (col.7, In.66—col.8, In.5: sub-manager agent analyzes SNMP request).
- 58. As to claim 11: Fujino teaches the method according to claim 10 which comprises the following steps: providing, in said at least one intermediate object, an activity co-ordinating module for implementing at least one of the following functions:
- 59. instantiating at least one concurrent process, updating activity status of the requests in said working queue, and creating statistic check messages to be sent to

Art Unit: 2419

said at least one manager object through said output queue (col.15, ln.23-37: self-agent and sub-agent process SNMP requests in parallel).

- 60. As to claim 12: Fujino teaches the method according to claim 9 which comprises the step of providing a plurality of protocol management modules configured to establish communication to said at least one managed object (col.6, In.59-67, col.15, In.14-17, In.38-48: communication with integration manager and agents using various modules such as communication control function and trap management function managing the exchange of SNMP messages) through respective different protocols in said at least one intermediate object (col.6, In.59-67, col.15, In.14-17, In.38-48: SNMP).
- 61. Claim 13 rejected under 35 U.S.C. 103(a) as being unpatentable over Fujino (US-5651006), Osmond (US-6044468) as applied to claim 9 above, and further in view of Champlin (US-6519635).
- 62. As to claim 13: Fujino teaches the method according to claim 9.
- 63. Fujino may not explicitly teach which comprises the step of establishing the communication between said at least one manager object and said at least one intermediate object by subjecting at least one part of the respective messages to a compression operation.-

Art Unit: 2419

64. Champlin teaches which comprises the step of establishing the communication between said at least one manager object and said at least one intermediate object by subjecting at least one part of the respective messages to a compression operation (fig.4, col.5, In.11-27: compress SNMP PDUs).

- 65. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the teachings of Champin into Fujino since Fujino suggests SNMP managers and sub-managers (fig.1, fig.2) in general and Champin suggests SNMP managers and sub-managers compressing received data, the motivation being to store the data in such a way as to take up the least amount of space (fig.4, col.5, In.11-27: compress SNMP PDUs).
- 66. Claim 14 rejected under 35 U.S.C. 103(a) as being unpatentable over Fujino (US-5651006), Osmond (US-6044468), Champlin (US-6519635) as applied to claim 13 above, and further in view of Birdwell (US-6032197).
- 67. As to claim 14: Fujino teaches the method according to claim 13.
- 68. Birdwell teaches wherein said compression operation is based on the acknowledgment of a sequence which appears periodically in the message (fig.4, fig.5, 56: compression indicator is periodic in that it appears in each message received).
- 69. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the teachings of Birdwell into Osmond since Osmond

Art Unit: 2419

suggests UDP transmissions for SNMP (col.1, In.15-30) in general and Birdwell suggests flag indicating that a UDP packet is compressed, the motivation being to determine whether the packet should be decompressed (col.7, In.23-34).

- 70. Claim 15 rejected under 35 U.S.C. 103(a) as being unpatentable over Fujino (US-5651006), Osmond (US-6044468), Birdwell (US-6032197), Champlin (US-6519635) as applied to claim 14 above, and further in view of Dorward (US-6236341).
- 71. As to claim 15: Fujino teaches the method according to claim 14.
- 72. Fujino may not explicitly teach wherein said compression operation implements a gzip type method.
- 73. Dorward teaches wherein said compression operation implements a gzip type method (col.3, ln.10-38, col.10, ln.59 col.11, ln.16, col.12, ln.48 col.13, ln.7: zlib to compress packets).
- 74. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the teachings of Dorward into Champlin since Champlin suggests PDU compression (fig.4, col.5, In.11-27: compress SNMP PDUs) in general and Dorward suggests PDU compression using zLib, the motivation being to save costs by utilizing free software (col.3, In.10-38, col.10, In.59 col.11, In.16, col.12, In.48 col.13, In.7).

Art Unit: 2419

75. Claim 16, 17, 18, 19 rejected under 35 U.S.C. 103(a) as being unpatentable over Fujino (US-5651006), Rozman (US-5438614) as applied to claim 2 above, and further in view of Birdwell (US-6032197).

- 76. As to claim 16: Fujino teaches the method according to claim 2.
- 77. Fujino may not explicitly teach which comprises the step of indicating that compression of the message transferred by UDP is done.
- 78. Birdwell teaches which comprises the step of indicating that compression of the message transferred by UDP is done (fig.4, 56, fig.5, 56: UDP/IP packet with a compression flag indicating that the packet is full-length or reduced length).
- 79. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the teachings of Birdwell into Rozman since Rozman suggests UDP transmissions (col.43, In.54-59) in general and Birdwell suggests flag indicating that a UDP packet is compressed, the motivation being to determine whether the packet should be decompressed (col.7, In.23-34).
- 80. As to claim 17: Fujino teaches the method according to claim 16.
- 81. Fujino may not explicitly teach wherein a bit field in the UDP header is used to indicate that the compression operation is done.
- 82. Birdwell teaches wherein a bit field in the UDP header is used to indicate that the compression operation is done (col.7, In.23-34).

Art Unit: 2419

83. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the teachings of Birdwell into Rozman since Rozman suggests UDP transmissions (col.43, In.54-59) in general and Birdwell suggests flag indicating that a UDP packet is compressed, the motivation being to determine whether the packet should be decompressed (col.7, In.23-34).

- 84. As to claim 18: Fujino, Rozman, Birdwell teach the method according to claim 17 wherein bits comprised in the range from bit 62 to bit 69 in the UDP header are used in indicate that the compression operation is done.
- 85. Examiner takes Official Notice that bits 62-69 are unused in the UDP protocol and was well known in the art at the time the invention was made for the purpose of allowing some overhead to overlay some signaling data so was to reduce bandwidth. It would have been obvious to one of ordinary skill in the art at the time the invention was made to utilize the unused bits within the UDP header for the purpose of notifying a node as to whether a packet is compressed or not compressed.
- 86. As to claim 19: Fujino, Rozman teaches the method according to claim 18.
- 87. Fujino, Rozman may not explicitly teach which comprises the step of setting at least one of the bits ... of the UDP message header to 1.
- 88. Birdwell teaches which comprises the step of setting at least one of the bits ... of the UDP message header to 1 (fig.5, 56, fig.5, 56: reduced length packet set to 1).

Art Unit: 2419

89. Birdwell may not explicitly teach bits from 62 to 69. Examiner takes Official Notice that bits 62-69 are unused in the UDP protocol and was well known in the art at the time the invention was made for the purpose of allowing some overhead to overlay some signaling data so was to reduce bandwidth. It would have been obvious to one of ordinary skill in the art at the time the invention was made to utilize the unused bits within the UDP header for the purpose of notifying a node as to whether a packet is compressed or not compressed.

- 90. Claim 20 rejected under 35 U.S.C. 103(a) as being unpatentable over Fujino (US-5651006), Osmond (US-6044468), Champlin (US-6519635) as applied to claim 13 above, and further in view of Noy (US-6539540).
- 91. As to claim 20: Fujino teaches he method according to claim 13 wherein the communication between said at least one manager object and said at least one intermediate object is implemented by means of SNMP messages (abstract, col.2, ln.60 col.3, ln.24 and col.6, ln.55-67 and fig.1, fig.2).
- 92. Fujino may not explicitly teach and comprises the following steps during the compression step: reading the entire SNMP message, encoding the read message in hexadecimal format, and subjecting the message encoded in hexadecimal format to compression.
- 93. Noy teaches and comprises the following steps during the compression step: reading the entire SNMP message, encoding the read message in hexadecimal format

Art Unit: 2419

(fig.2, col.1, ln.45 – col.2, ln.20: MIB information exchanged between SNMP nodes and encoded as a hexadecimal byte array).

- 94. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the teachings of Noy into Fujino since Fujino suggests an SNMP manager, sub-manager, and agent exchanging SNMP messages (abstract, col.2, In.60 col.3, In.24 and col.6, In.55-67 and fig.1, fig.2) in general and Noy suggests SNMP nodes encoding messages into hexadecimal byte arrays, the motivation being to perform a comparison and detect a byte level difference and conserve processing resources (col.1, In.45 col.2, In.20).
- 95. Noy may not explicitly teach and subjecting the message encoded in hexadecimal format to compression.
- 96. Champlin and subjecting the message to compression (fig.4, col.5, In.11-27: compress SNMP PDUs).
- 97. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the teachings of Champlin into Noy since Noy suggests encoding SNMP messages into hexadecimal byte arrays (col.1, In.45 col.2, In.20) in general and Champin suggests SNMP managers and sub-managers compressing received SNMP data, the motivation being to store the data in such a way as to take up the least amount of space (fig.4, col.5, In.11-27: compress SNMP PDUs).

Art Unit: 2419

98. Claim 21, 22, 25, 26, 27 rejected under 35 U.S.C. 103(a) as being unpatentable over Fujino (US-5651006), Osmond (US-6044468), Champlin (US-6519635) as applied to claim 13 above, and further in view of Yoshino (US-20020052946), Noy (US-6539540).

- 99. As to claim 21: Fujino teaches the method according to claim 13 wherein communication between said at least one manager object and said at least one intermediate object is implemented by means of SNMP messages (abstract, col.2, ln.60 col.3, ln.24 and col.6, ln.55-67 and fig.1, fig.2).
- 100. Fujino may not explicitly teach comprises the following steps during the reception step: subjecting the received message to decompression complementary to said compression operation, to obtain a message subjected to decoding in hexadecimal format, decoding the message from the hexadecimal format, and reconstructing the entire SNMP message from said decoded message.
- 101. Yoshino teaches subjecting the received message to decompression complementary to said compression operation, to obtain a message ([0059]: defrost SNMP packet).
- 102. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the teachings of Yoshino into Fujino since Fujino suggests transmitting SNMP messages in general (abstract, col.2, In.60 col.3, In.24 and col.6, In.55-67 and fig.1, fig.2) and Yoshino suggests compressing SNMP messages and decompressing SNMP messages to obtain the original data, the

Art Unit: 2419

motivation being to process the original data and increase bandwidth efficiency ([0059]: defrost SNMP packet).

- 103. Yoshino may not explicitly teach decoding the message from the hexadecimal format, and reconstructing the entire SNMP message from said decoded message.
- 104. Noy teaches decoding the message from the hexadecimal format, and reconstructing the entire SNMP message from said decoded message (col.1, In.30-43,
  col.3, In.35-54: extract encoded information when a difference is found).
- 105. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the teachings of Noy into Fujino since Fujino suggests an SNMP manager, sub-manager, and agent exchanging SNMP messages (abstract, col.2, In.60 col.3, In.24 and col.6, In.55-67 and fig.1, fig.2) in general and Noy suggests SNMP nodes encoding messages into hexadecimal byte arrays and extracting the messages from hexadecimal in the event of a difference resulting from the comparison, the motivation being to detect changes in the MIB information in the database of the SNMP agents and act upon such differences (col.1, In.45 col.2, In.20).
- 106. As to claim 22: Fujinio teaches the method according to claim 21.
- 107. Fujino may not explicitly teach which comprises a nesting operation in a standard SNMP message for the transmission of the message subjected to said compression operation.

Art Unit: 2419

108. Osmond teaches which comprises a nesting operation in a standard SNMP message for the transmission of the message (col.1, In.15-30; fig.1, 107, 117: SNMP over UDP).

- 109. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the teachings of Osmond into Fujino since Fujino suggests SNMP nodes communicating using SNMP messages (fig.1, fig.2) in general and Osmond suggests SNMP transmitted over UDP, the motivation being to provide for better interoperability (col.1, In.15-30; fig.1, 107, 117: SNMP over UDP).
- 110. Osmond may not explicitly teach message subjected to said compression operation.
- 111. Yoshino teaches teach message subjected to said compression operation ([0056]: SNMP message subject to compression operation).
- 112. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the teachings of Yoshino into Fujino since Fujino suggests transmitting SNMP messages in general (abstract, col.2, In.60 col.3, In.24 and col.6, In.55-67 and fig.1, fig.2) and Yoshino suggests compressing SNMP messages and decompressing SNMP messages to obtain the original data, the motivation being to increase bandwidth efficiency ([0056], [0059]: compress SNMP packet for transmission).
- 113. As to claim 25: Fujino teaches the method according to 21.

Art Unit: 2419

114. Fujino may not explicitly teach which comprises the step of integrating the message subjected to said compression operation through UDP nesting for the transmission of the message subjected to said compression operation.

- 115. Osmond teaches which comprises the step of integrating the message ... operation through UDP nesting for the transmission of the message (col.1, In.15-30; fig.1, 107, 117: SNMP over UDP).
- 116. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the teachings of Osmond into Fujino since Fujino suggests SNMP nodes communicating using SNMP messages (fig.1, fig.2) in general and Osmond suggests SNMP transmitted over UDP, the motivation being to provide for better interoperability (col.1, In.15-30; fig.1, 107, 117: SNMP over UDP).
- 117. Osmond may not explicitly teach message subjected to said compression operation.
- 118. Yoshino teaches teach message subjected to said compression operation ([0056]: SNMP message subject to compression operation).
- 119. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the teachings of Yoshino into Fujino since Fujino suggests transmitting SNMP messages in general (abstract, col.2, ln.60 col.3, ln.24 and col.6, ln.55-67 and fig.1, fig.2) and Yoshino suggests compressing SNMP messages and decompressing SNMP messages to obtain the original data, the motivation being to increase bandwidth efficiency ([0056], [0059]: compress SNMP packet for transmission).

Art Unit: 2419

120. As to claim 26: Fujino teaches the method according to claim 25.

- 121. Fujino may not explicitly teach which comprises the following steps during transmission: configuring said message ... as a Protocol Data Unit (PDU) payload, and transferring the payload created in this way to a given receiver port.
- 122. Osmond teaches which comprises the following steps during transmission: configuring said message ... as a Protocol Data Unit (PDU) payload, and transferring
  the payload created in this way to a given receiver port (col.1, ln.15-30; fig.1, 107, 117:
  SNMP over UDP).
- 123. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the teachings of Osmond into Fujino since Fujino suggests SNMP nodes communicating using SNMP messages (fig.1, fig.2) in general and Osmond suggests SNMP transmitted over UDP, the motivation being to provide for better interoperability (col.1, In.15-30; fig.1, 107, 117: SNMP over UDP).
- 124. Osmond may not explicitly teach message subjected to said compression operation.
- 125. Yoshino teaches message subjected to said compression operation ([0056]: SNMP message subject to compression operation).
- 126. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the teachings of Yoshino into Fujino since Fujino suggests transmitting SNMP messages in general (abstract, col.2, In.60 col.3, In.24 and col.6, In.55-67 and fig.1, fig.2) and Yoshino suggests compressing SNMP

Art Unit: 2419

messages and decompressing SNMP messages to obtain the original data, the motivation being to increase bandwidth efficiency ([0056], [0059]: compress SNMP packet for transmission).

- 127. As to claim 27: Fujino teaches the method according to claim 26.
- 128. Fujino may not explicitly teach which comprises the following steps during reception: receiving said message as a payload of a PDU UDP received at a receiver port, and extracting said payload from said PDU.
- 129. Osmond teaches which comprises the following steps during reception: receiving said message as a payload of a PDU UDP received at a receiver port (col.1,
  ln.15-30; fig.1, 107, 117: SNMP over UDP).
- 130. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the teachings of Osmond into Fujino since Fujino suggests SNMP nodes communicating using SNMP messages (fig.1, fig.2) in general and Osmond suggests SNMP transmitted over UDP, the motivation being to provide for better interoperability (col.1, In.15-30; fig.1, 107, 117: SNMP over UDP).
- 131. Osmond may not explicitly teach and extracting said payload from said PDU.
- 132. Examiner takes Official Notice that extracting said payload from said PDU was well known in the art at the time the invention was made for the purpose of obtaining data encapsulated in the PDU. It would have been obvious to one of ordinary skill in the art at the time the invention was made to de-capsulate the SNMP message having been

Art Unit: 2419

encapsulated into the UDP PDU in order to obtain the SNMP message and act upon its contents.

- 133. Claim 23, 24 rejected under 35 U.S.C. 103(a) as being unpatentable over Fujino (US-5651006), Osmond (US-6044468), Champlin (US-6519635), Yoshino (US-20020052946), Noy (US-6539540) as applied to claim 22 above, and further in view of Nishio (US-20010044822), Bossi (US-6421425), Rodriguez (US-20020029228).
- 134. As to claim 23: Fujino teaches the method according to claim 22.
- 135. Fujino may not explicitly teach which comprises the following steps during transmission: reading the message subjected to said compression operation in bytes and transposing it into a corresponding ASCII character message, generating a variable binding set comprising a first OID indicating the original file size and subsequent OID/value pairs which carry portions of said message subjected to said compression operation transposed into ASCII characters, reconstructing SNMP message header data, encoding the resulting SNMP message in hexadecimal format to generate the UDP payload, and transferring the UDP payload generated in this way.

  136. Bossi teaches which comprises the following steps during transmission: reading the message ... and transposing it into a corresponding ASCII character message (Bossi, col.4, In.1-24, col.5, In.41-60: convert to ASCII and packetize).

Art Unit: 2419

137. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the teachings of Bossi into Fujino since Fujino suggests transmission of packets between network elements (fig.2, 10, 20, col.2, In.60 - col.3, In.24 and col.6, In.55-67) in general and Bossi suggests transmission of packets between network elements where the data is encoded into ASCII for transmission after which it is decoded from ASCII, the motivation being to convert data into a format that is transportable over the internet and then to convert the data back into its original format to be processed by a system (col.4, In.1-24, col.5, In.41-60, col.5, In.61 – col.6, In.2: convert to ASCII and packetize).

- 138. Bossi may not explicitly teach generating a ... set comprising a first ... indicating the original file size.
- **139.** Rodriguez teaches generating a ... set comprising a first ... indicating the original file size (Rodriguez, [0007]: indication of original, uncompressed file size).
- 140. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the teachings of Rodriguez into Yoshinio since Yoshino suggests compression of data ([0056], [0059]: compress / decompress SNMP packet for transmission) in general and Rodriguez suggests an indicator containing information regarding the initial size of the file, the motivation being to keep a record with which to compare with the result of a future decompress operation ([0007]).
- 141. Bossi and Rodriguez may not explicitly teach and subsequent OID/value pairs which carry portions of said message subjected to said compression operation (104).

Application/Control Number: 10/511,188

Art Unit: 2419

**142.** Nishio teaches and subsequent OID/value pairs which carry portions of said message subjected to said compression operation (Nishio, fig.6, [0073-0075], [0101]: series of OID / value pairs).

Page 27

- 143. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the teachings of Nishio into Fujino since Fujino suggests network elements exchanging SNMP messages (fig.2, 10, 20, col.2, ln.60 col.3, ln.24 and col.6, ln.55-67) in general and Nishio suggests assembling SNMP messages with variable bindings, the motivation being to search MIB databases and acquire address information of an address (fig.9, [0073-0079], [0084], [0101]).
- 144. Bossi, Rodriguez, and Nishio may not explicitly teach reconstructing SNMP message header data, encoding the resulting SNMP message in hexadecimal format.
- 145. Noy teaches reconstructing SNMP message header data, encoding the resulting SNMP message in hexadecimal format (Noy, fig.2, col.1, In.45 col.2, In.20, col.1, In.30-43, col.3, In.35-54: MIB information exchanged between SNMP nodes and encoded / decoded as a hexadecimal byte array).
- 146. Bossi, Rodriguez, Nishio, and Noy may not explicily teach to generate the UDP payload, and transferring the UDP payload generated in this way.
- **147.** Osmond teaches to generate the UDP payload, and transferring the UDP payload generated in this way (col.1, In.15-30; fig.1, 107, 117: SNMP over UDP).
- 148. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the teachings of Osmond into Fujino since Fujino suggests SNMP nodes communicating using SNMP messages (fig.1, fig.2) in general

Art Unit: 2419

and Osmond suggests SNMP transmitted over UDP, the motivation being to provide for better interoperability (col.1, In.15-30; fig.1, 107, 117: SNMP over UDP).

- 149. As to claim 24: Fujino teaches the method according to 23
- 150. Fujino may not explicitly teach which comprises the following steps during reception: receiving the message subjected to said compression operation as an UDP payload, subjecting the payload received in this way to a hexadecimal decoding operation, acknowledging and assembling the variable binding of the message subjected to hexadecimal decoding, subjecting the message subjected to said acknowledging and assembling operation to binary ASCII decoding, and subjecting the decoded message in binary form to said decompression operation.
- 151. Yoshino teaches which comprises the following steps during reception: receiving the message subjected to said compression ([0056]: SNMP message
  subject to compression operation) ... and subjecting the ... message in binary form
  to said decompression operation ([0059]: defrost SNMP packet).
- 152. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the teachings of Yoshino into Fujino since Fujino suggests transmitting SNMP messages in general (abstract, col.2, In.60 col.3, In.24 and col.6, In.55-67 and fig.1, fig.2) and Yoshino suggests compressing SNMP messages and decompressing SNMP messages to obtain the original data, the motivation being to increase bandwidth efficiency ([0056], [0059]: compress / decompress SNMP packet for transmission).

Art Unit: 2419

153. Yoshino may not explicitly teach operation as an UDP payload.

- 154. Osmond teaches operation as an UDP payload (col.1, ln.15-30; fig.1, 107, 117: SNMP over UDP).
- 155. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the teachings of Osmond into Fujino since Fujino suggests SNMP nodes communicating using SNMP messages (fig.1, fig.2) in general and Osmond suggests SNMP transmitted over UDP, the motivation being to provide for better interoperability (col.1, In.15-30; fig.1, 107, 117: SNMP over UDP).
- 156. Yoshino and Osmond may not explicitly teach subjecting the payload received in this way to a hexadecimal decoding operation.
- 157. Noy teaches subjecting the payload received in this way to a hexadecimal decoding operation (214) (col.1, ln.30-43, col.3, ln.35-54: extract encoded information when a difference is found).
- 158. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the teachings of Noy into Fujino since Fujino suggests an SNMP manager, sub-manager, and agent exchanging SNMP messages (abstract, col.2, In.60 col.3, In.24 and col.6, In.55-67 and fig.1, fig.2) in general and Noy suggests SNMP nodes encoding messages into hexadecimal byte arrays and extracting the messages from hexadecimal in the event of a difference resulting from the comparison, the motivation being to detect changes in the MIB information in the database of the SNMP agents and act upon such differences (col.1, In.45 col.2, In.20).

Art Unit: 2419

159. Yoshino, Osmond, and Noy may not explicitly teach - acknowledging and assembling the variable binding of the message.

- **160.** Nishio teaches acknowledging and assembling the variable binding of the message ([0073-0077]: construct packet with variable bindings).
- 161. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the teachings of Nishio into Fujino since Fujino suggests network elements exchanging SNMP messages (fig.2, 10, 20, col.2, ln.60 col.3, ln.24 and col.6, ln.55-67) in general and Nishio suggests assembling SNMP messages with variable bindings, the motivation being to search MIB databases and acquire address information of an address (fig.9, [0073-0079], [0084], [0101]).
- 162. Yoshino, Rozman, Noy, and Nisho may not explicitly teach subjecting the message subjected to said acknowledging and assembling operation to binary ASCII decoding.
- 163. Bossi teaches subjecting the message subjected to said acknowledging and assembling operation to binary ASCII decoding (col.5, ln.61 col.6, ln.2: de-packetize and convert ASCII into another format for processing).
- 164. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the teachings of Bossi into Fujino since Fujino suggests transmission of packets between network elements (fig.2, 10, 20, col.2, In.60 col.3, In.24 and col.6, In.55-67) in general and Bossi suggests transmission of packets between network elements where the data is encoded into ASCII for transmission after which it is decoded from ASCII, the motivation being to convert data

Art Unit: 2419

into a format that is transportable over the internet and then to convert the data back into its original format to be processed by a system (col.4, In.1-24, col.5, In.41-60, col.5, In.61 – col.6, In.2: convert to ASCII and packetize).

- 165. Claim 28 rejected under 35 U.S.C. 103(a) as being unpatentable over Fujino (US-5651006), Osmond (US-6044468), Champlin (US-6519635), Yoshino (US-20020052946), Noy (US-6539540) as applied to claim 27 above, and further in view of Takahashi (US-20020188708).
- 166. As to claim 28: Fujino teaches the method according to claim 27.
- 167. Fujino may not explicitly teach which comprises the step of transmitting a synchronisation message of the SNMP type indicating at least one of said transmission port and said reception port between said at least one manager object and said at least one intermediate object.
- 168. Takahashi teaches which comprises the step of transmitting a synchronisation message of the SNMP type indicating at least one of said transmission port and said reception port between said at least one manager object and said at least one intermediate object (fig.3: manager and intermediate objects exchange interface information).
- 169. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to implement the teachings of Takahashi into Fujino since Fujino suggests SNMP agents and managers in communication with each other (fig.2, 10, 20,

Art Unit: 2419

col.2, In.60 - col.3, In.24 and col.6, In.55-67 in general and Takahashi suggests SNMP agents and managers exchanging interface information with each other, the motivation being to collect information from the agents, register agents that are candidates for management, and produce a screen of a network composition ([0006-0009]).

#### 170. Conclusion

- 171. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).
- 172. A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.
- 173. Any inquiry concerning this communication or earlier communications from the examiner should be directed to ANDREW OH whose telephone number is (571)270-5273. The examiner can normally be reached on M-F 8:30AM 5AM EST.
- 174. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Daniel J. Ryman can be reached on (571)272-3152. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2419

175. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

176.

178. /A. O./

179. Examiner, Art Unit 2419

/Daniel J. Ryman/ Supervisory Patent Examiner, Art Unit 2419